

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/739,391	
	Filing Date	December 15, 2000	
	First Named Inventor	Ronald Reade	
	Art Unit	1765	
	Examiner Name	Robert M. Kunemund	
Total Number of Pages in This Submission	3	Attorney Docket Number	IB-1632

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Remarks _____ Statement Under 37 C.F.R. 3.73(b)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Lawrence Berkeley National Laboratory		
Signature	<i>R'Sue P. Caron</i>		
Printed name	R'Sue P. Caron		
Date	3/2/2006	Reg. No.	52,699

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Typed or printed name	Mark D. Menge	Date	3/3/06

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PTO/SB/02 (04-05)

Approved for use through 11/30/2005. OMB 0851-0035

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REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	09/739,391
	Filing Date	12/15/2000
	First Named Inventor	Ronald Reade
	Art Unit	1765
	Examiner Name	Robert M. Kunemund
	Attorney Docket Number	IB-1632

I hereby revoke all previous powers of attorney given in the above-identified application.

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☐ A Power of Attorney is submitted herewith.

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Timothy J. Lithgow

Date

MAR 06

Telephone

(510) 495-2839

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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PTO/SB/98 (11-05)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Ronald Reade, et al.Application No./Patent No.: 6,821,338 Filed/Issue Date: November 23, 2004Entitled: Particle Beam Biaxial Orientation of a Substrate for Epitaxial Crystal Growth

The Regents of the University of California

University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
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- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012509, Frame 0109, or for which a copy thereof is attached.

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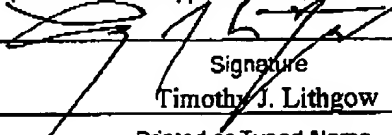
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature
Timothy J. Lithgow
Printed or Typed Name
Patent Manager
Title

1 MAR 06
Date
(510) 495-2839
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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